

## Pulmonologist's Perspective – Literature Review

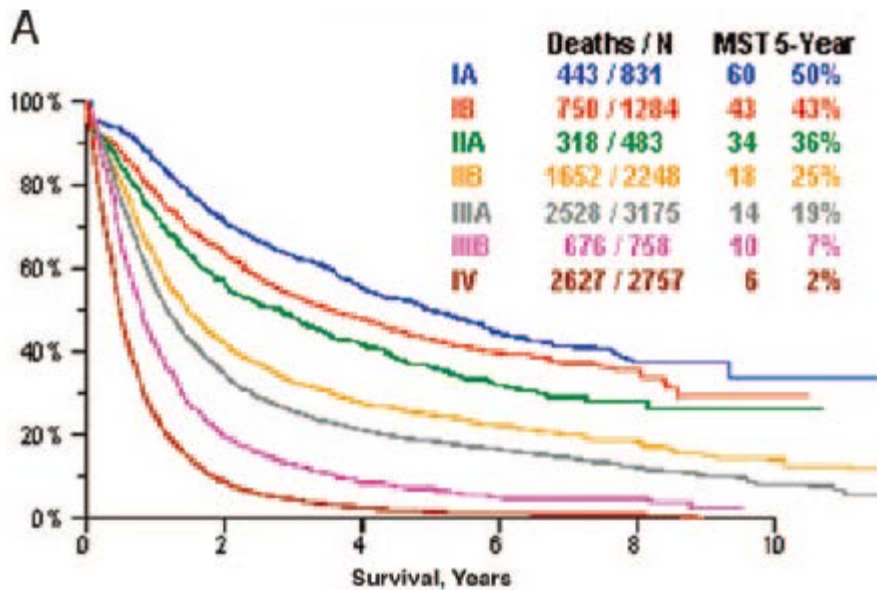


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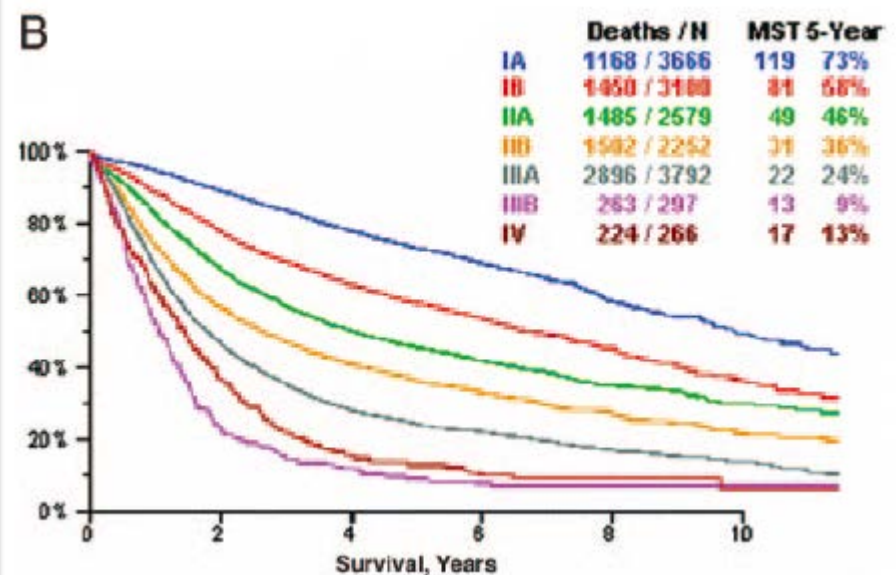
# Local vs. Advanced NSCLC

5-year survival rate 15.9%

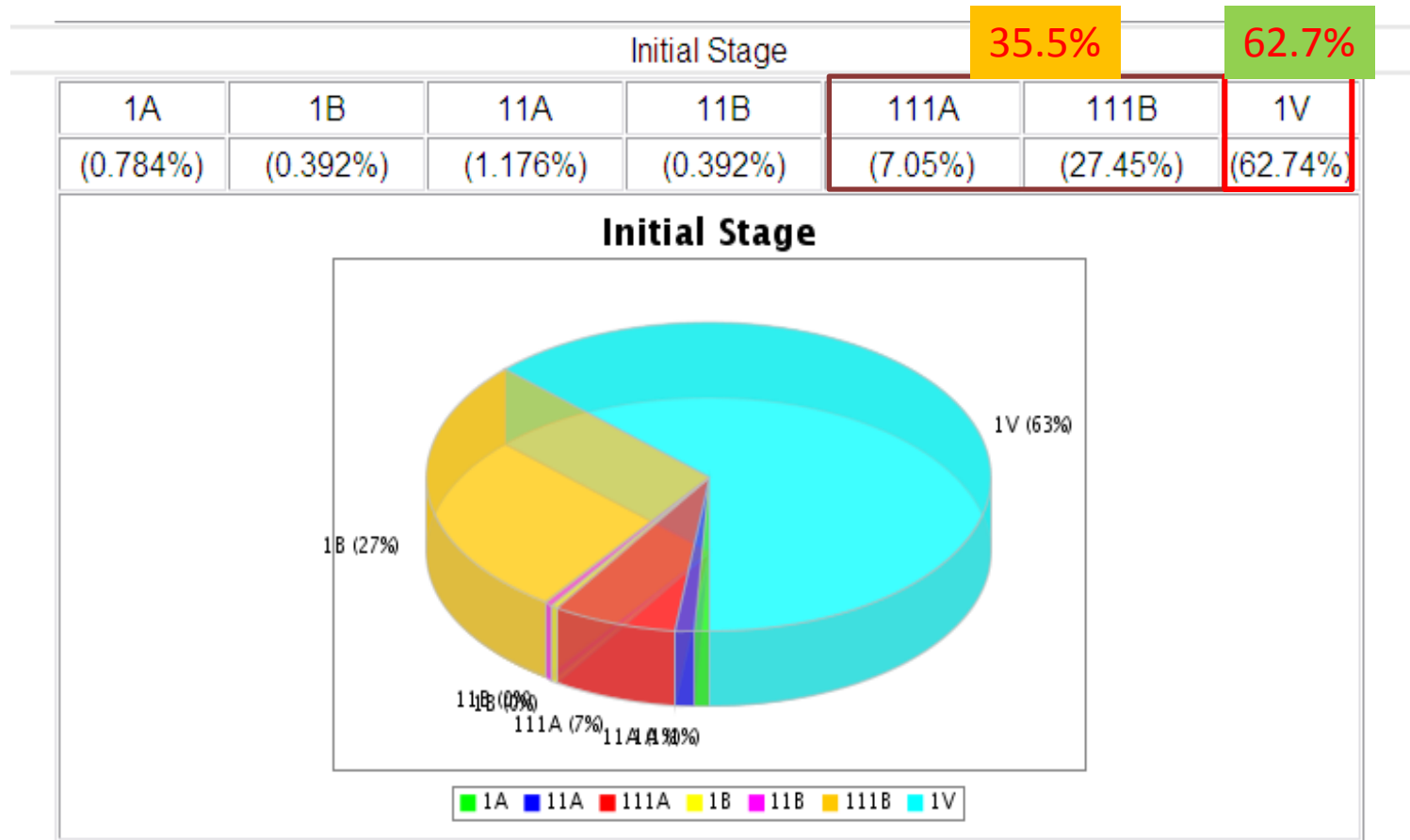
## Clinical Staging



## Pathologic Staging



# Late Stage Lung Cancer When Diagnosed in Taiwan



Performance status (ECOG)



There has been a **70%** decrease in cervical cancer deaths between 1955 and 1972, largely as a result of the Pap test.

#### DEATH RATES FOR BREAST CANCER (1990-2008)



EST. 2012 INCIDENCE = 229,060 • DEATHS = 39,920

#### DEATH RATES FOR CERVIX UTERI (1990-2008)



EST. 2012 INCIDENCE = 12,710 • DEATHS = 4,220

#### DEATH RATES FOR PROSTATE (1990-2008)



EST. 2012 INCIDENCE = 241,740 • DEATHS = 28,170

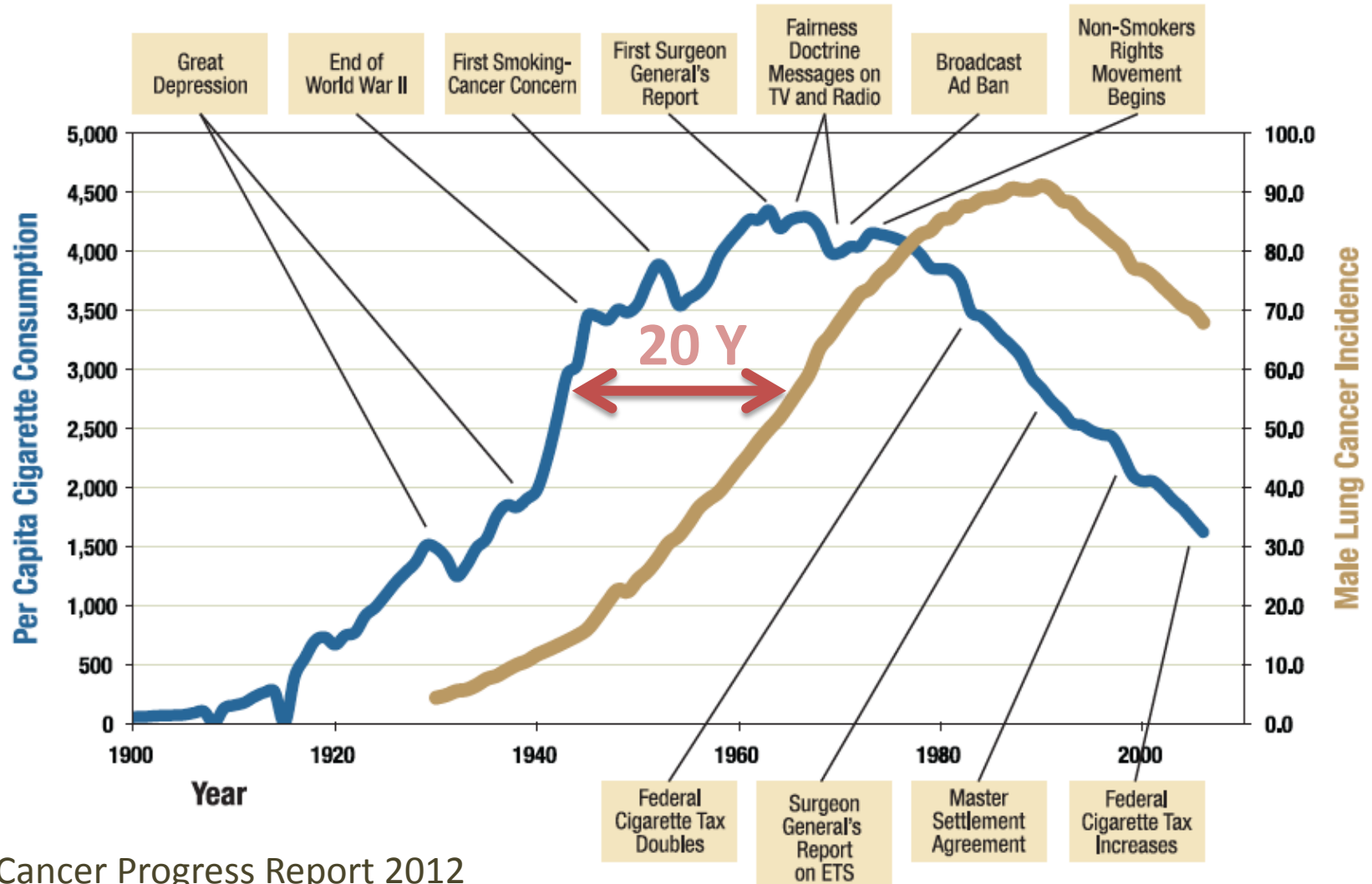
#### DEATH RATES FOR COLORECTAL CANCER (1990-2008)



EST. 2012 INCIDENCE = 143,460 • DEATHS = 51,690

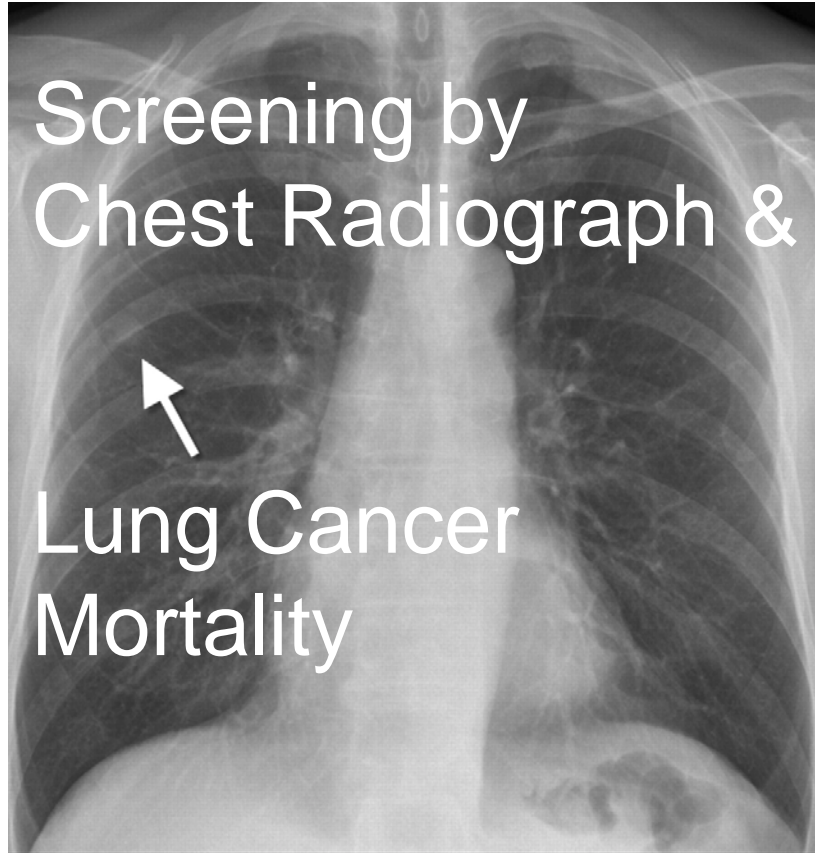
**DEATH RATES FOR LUNG AND BRONCHUS (1990-2008)**

EST. 2012 INCIDENCE = 226,160 • DEATHS = 160,340



# The PLCO Trial

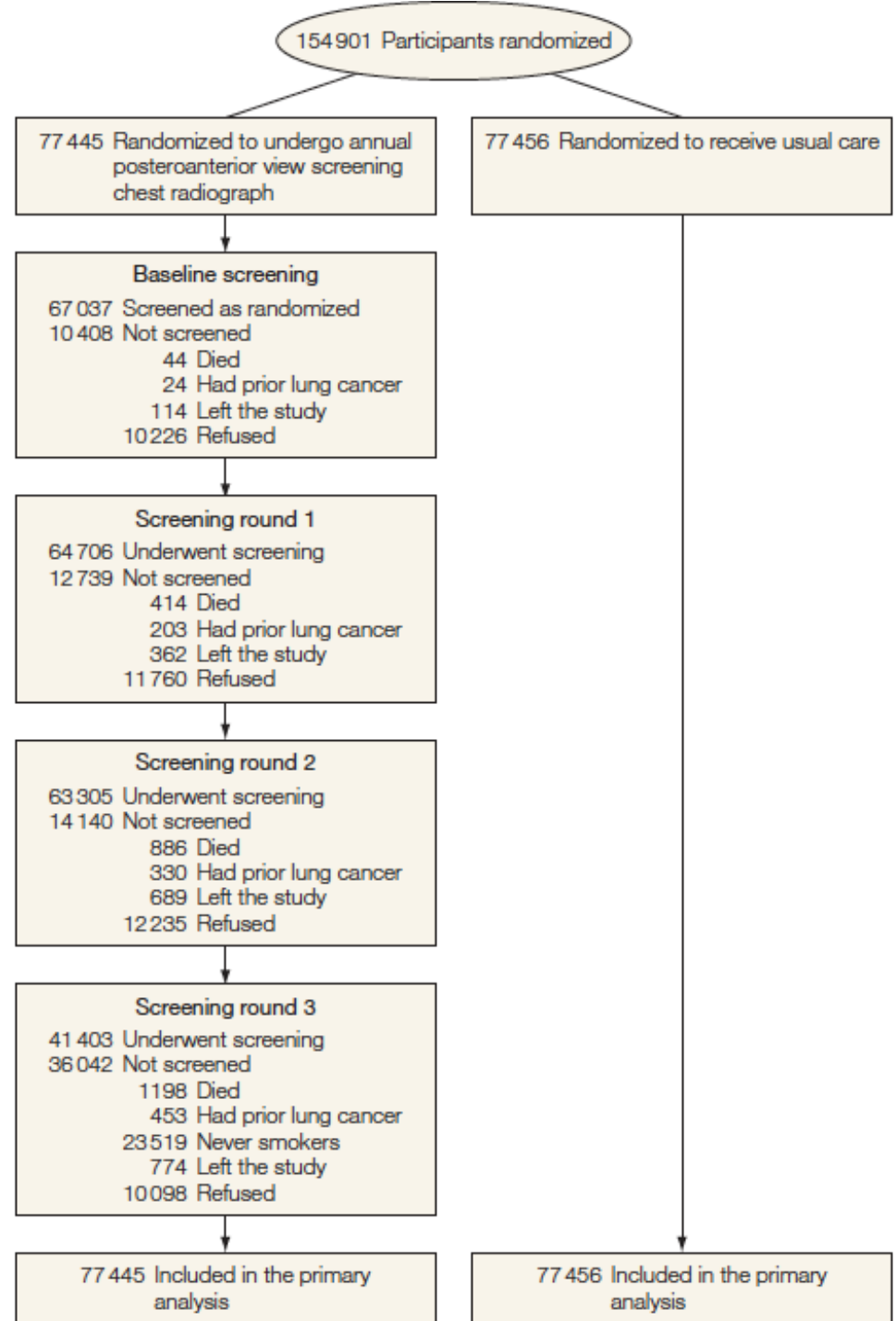
The Prostate, Lung, Colorectal & Ovarian Randomized Trial



Screening by  
Chest Radiograph &

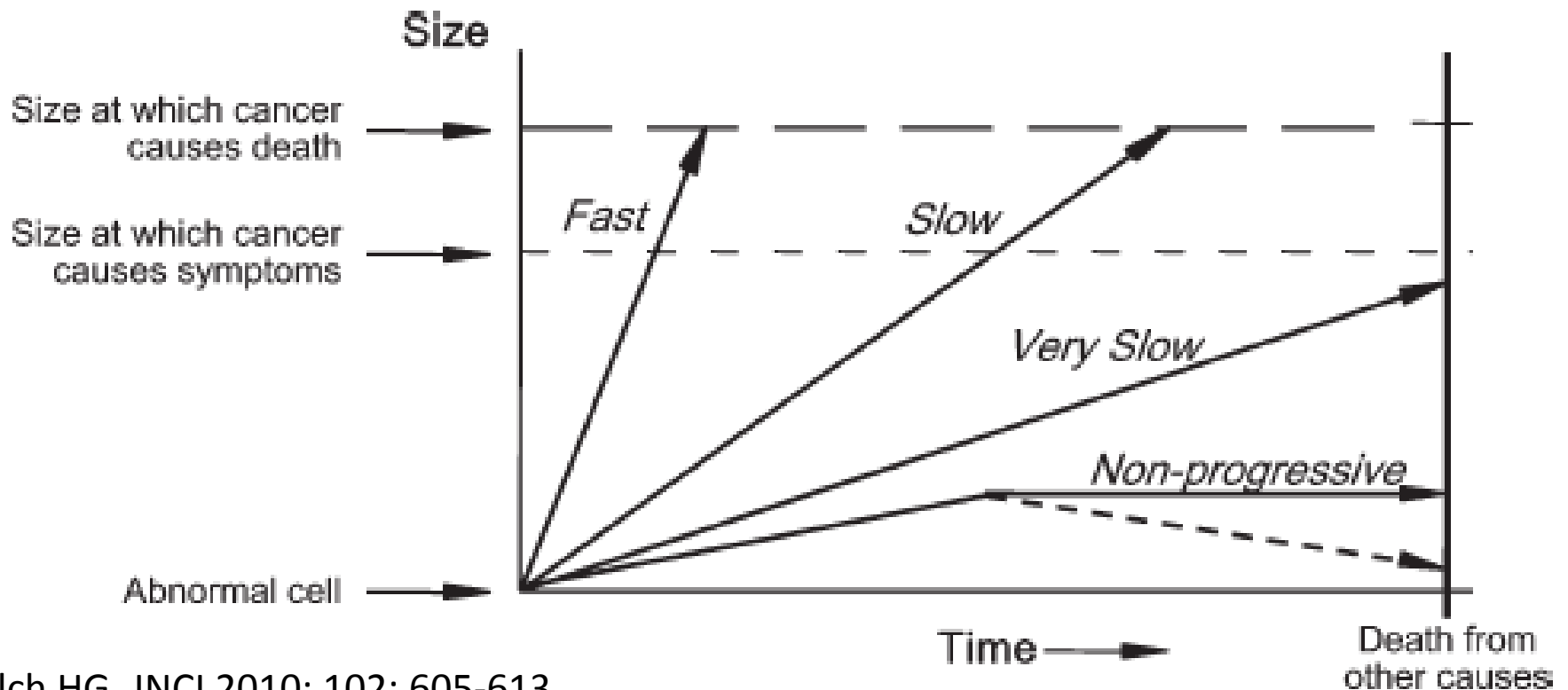
Lung Cancer  
Mortality

Men & women  
aged 55 through 74 years



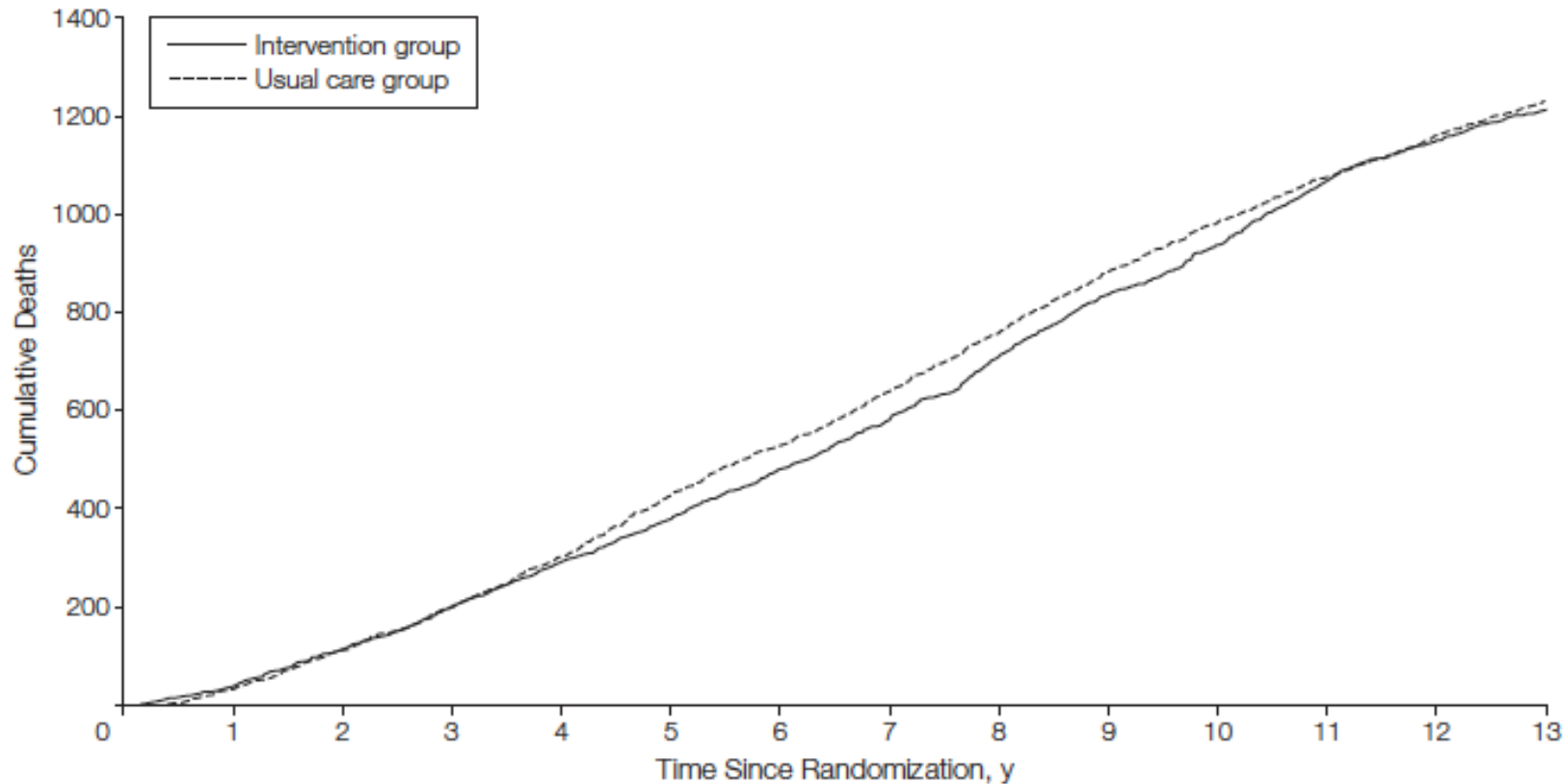
# Overdiagnosis in Cancer

in addition to false positive



# The PLCO Trial

## Lung Cancer Mortality by Year



### Intervention group

Cumulative deaths	36	113	196	292	378	480	582	711	838	937	1070	1150	1213
Cumulative person-years	77268	154053	230270	305833	380691	454773	527937	600004	670274	735098	789540	832441	864227

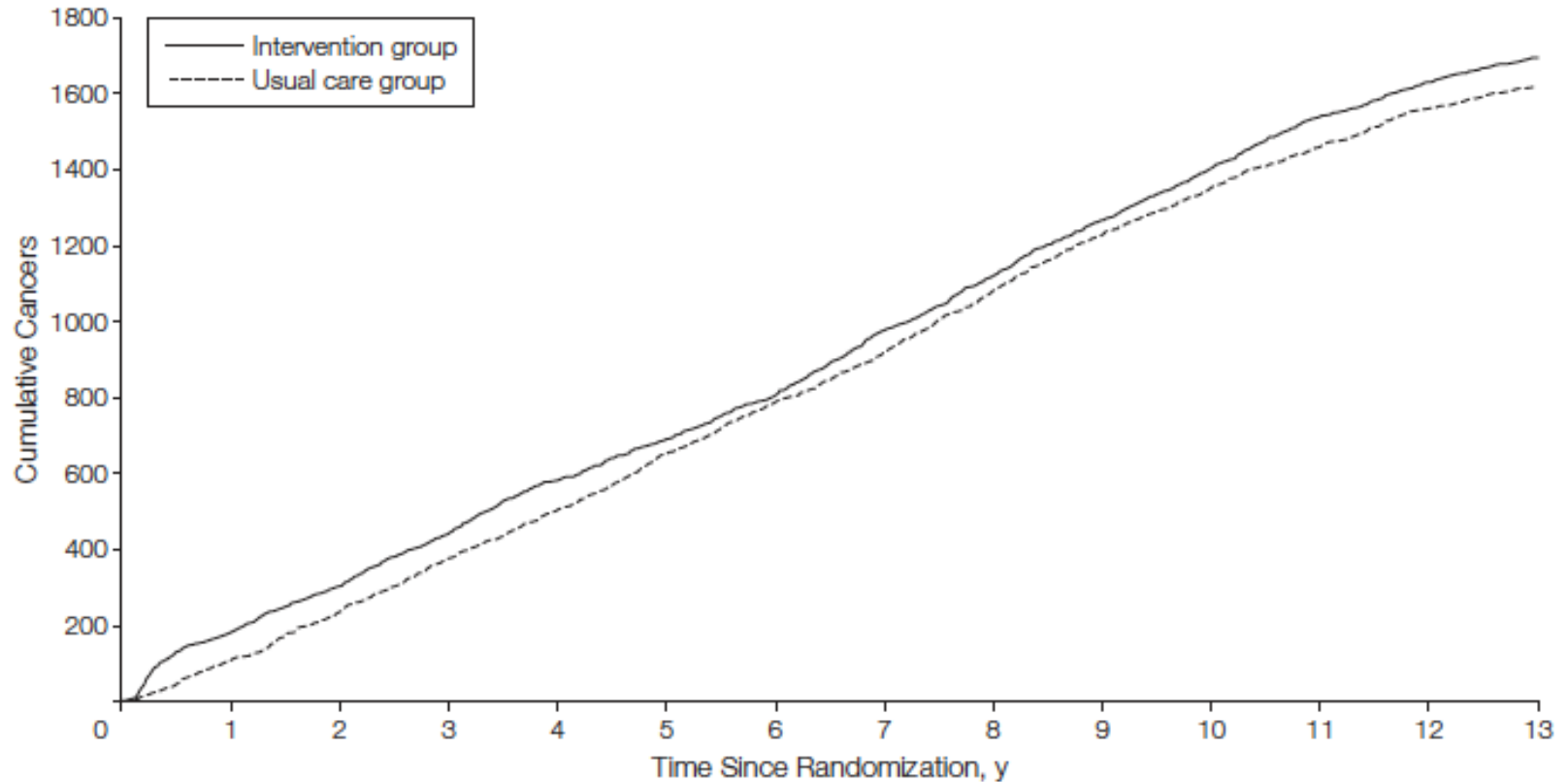
### Usual care group

Cumulative deaths	30	111	198	301	426	527	639	761	884	987	1076	1162	1230
Cumulative person-years	77286	154116	230348	305902	380725	454719	527804	599790	669955	734523	788854	831678	863330



# The PLCO Trial

## Lung Cancer Incidence by Year



### Intervention group

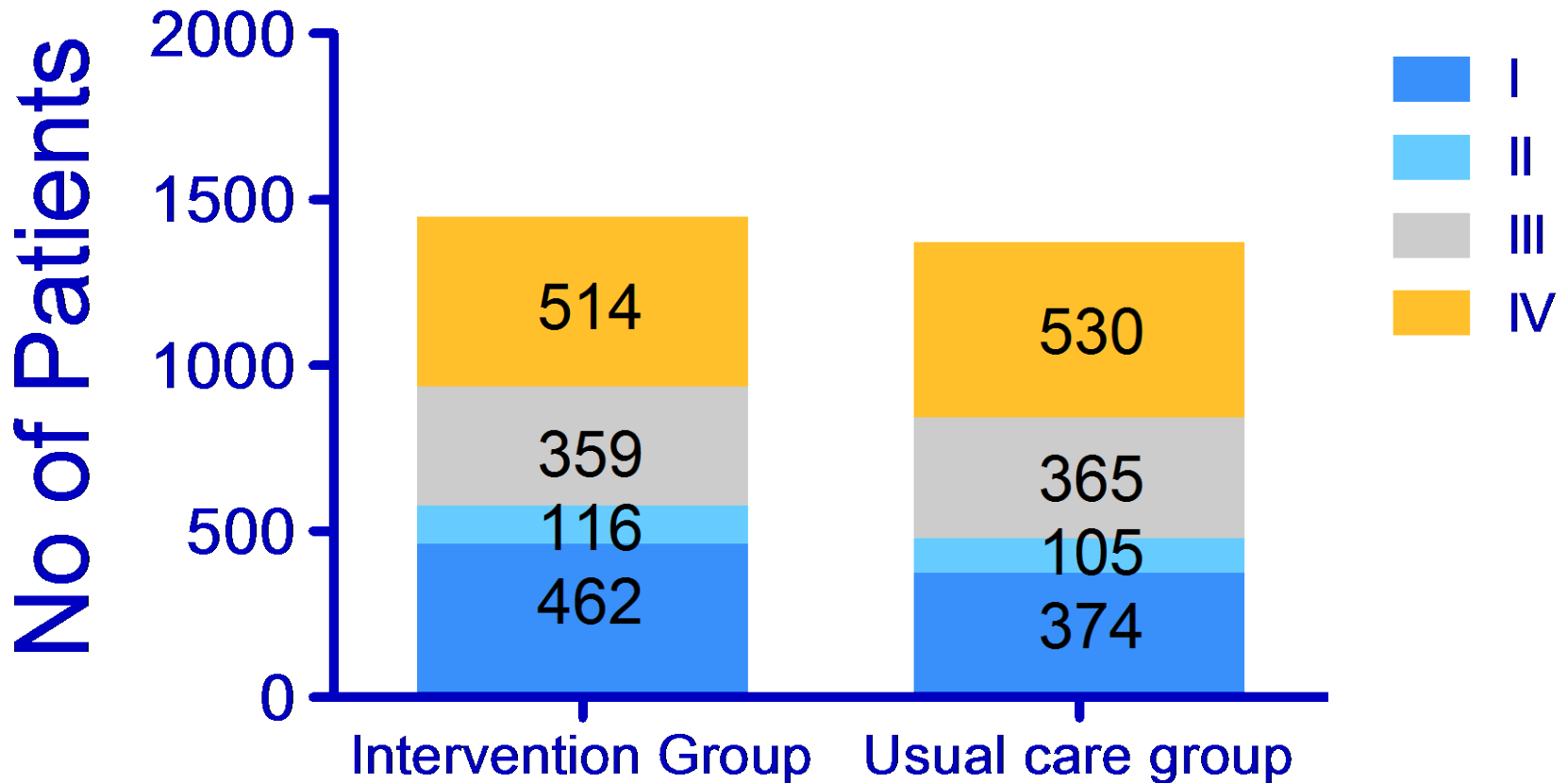
Cumulative cancers	181	304	441	583	692	808	981	1124	1268	1405	1544	1633	1696
Cumulative person-years	76617	152416	227322	301309	374374	446481	517521	587405	655538	718389	771188	812963	844011

### Usual care group

Cumulative cancers	109	235	377	504	653	790	923	1084	1232	1358	1465	1563	1620
Cumulative person-years	76597	152495	227549	301699	374873	446975	517940	587701	655718	718398	771147	812834	843762

# The PLCO Trial

Annual screening with chest radiograph is not useful for lung cancer screening in low risk patients



# The PLCO Trial

## Lung Cancer Mortality by Year

### NLST (High risk group)

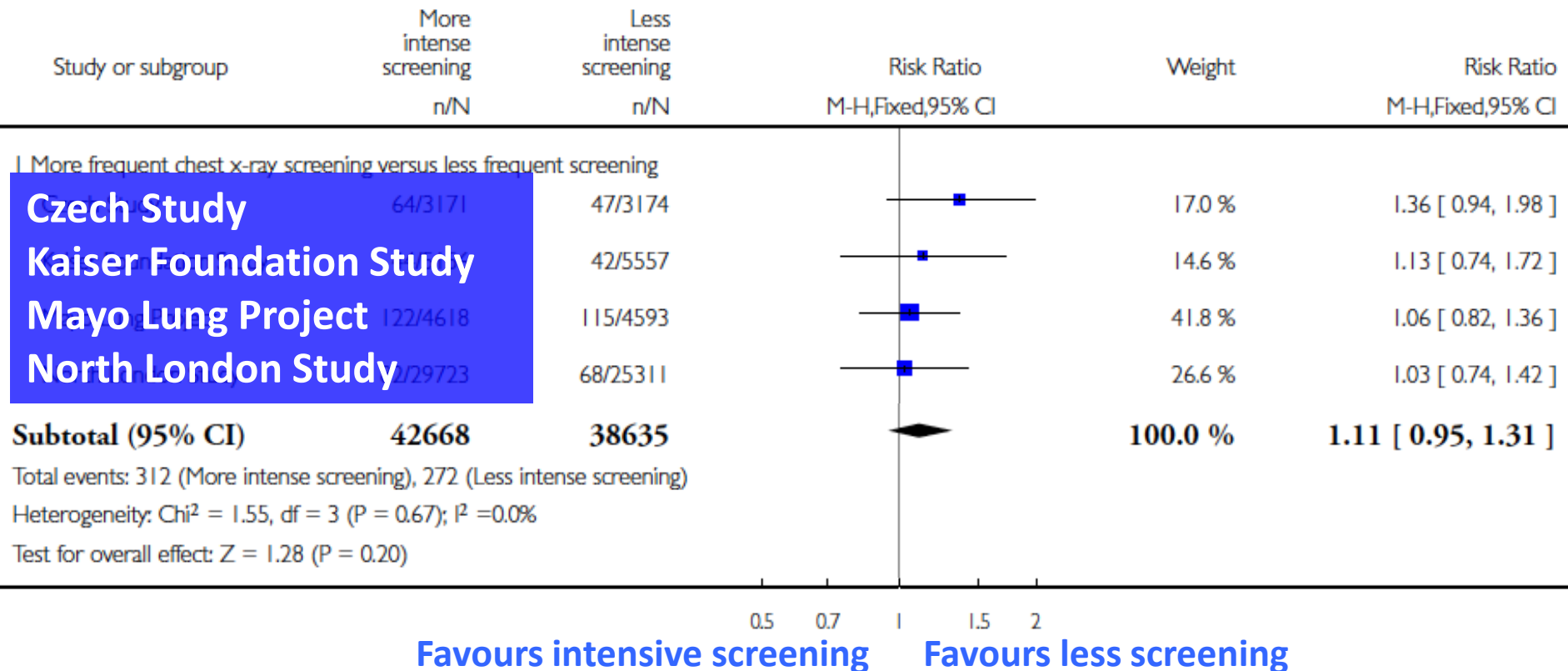
	Intervention Group (n = 15 183)	Usual Care Group (n = 15 138)	Rate Ratio (95% CI)
Men, No. (%)	9252 (60.9)	9110 (60.2)	
Current smoker, No. (%)	6146 (40.5)	6069 (40.3)	
Median pack-years	52.0	52.5	
Adherence with baseline screen, No. (%) <sup>a</sup>	13 035 (85.9)		
Overall adherence, No. (%) <sup>a</sup>	48 330 (81.4)		
Results through 6 y of follow-up			
Diagnosed cases, No.	518	520	1.00 (0.89-1.13)
Person-years for incidence	85 428	85 474	
Lung cancer deaths, No.	316	334	0.94 (0.81-1.10)
Person-years for death	87 473	87 198	

<sup>a</sup>Percentage of expected screens.

# More frequent CXR screening vs. less frequent screening

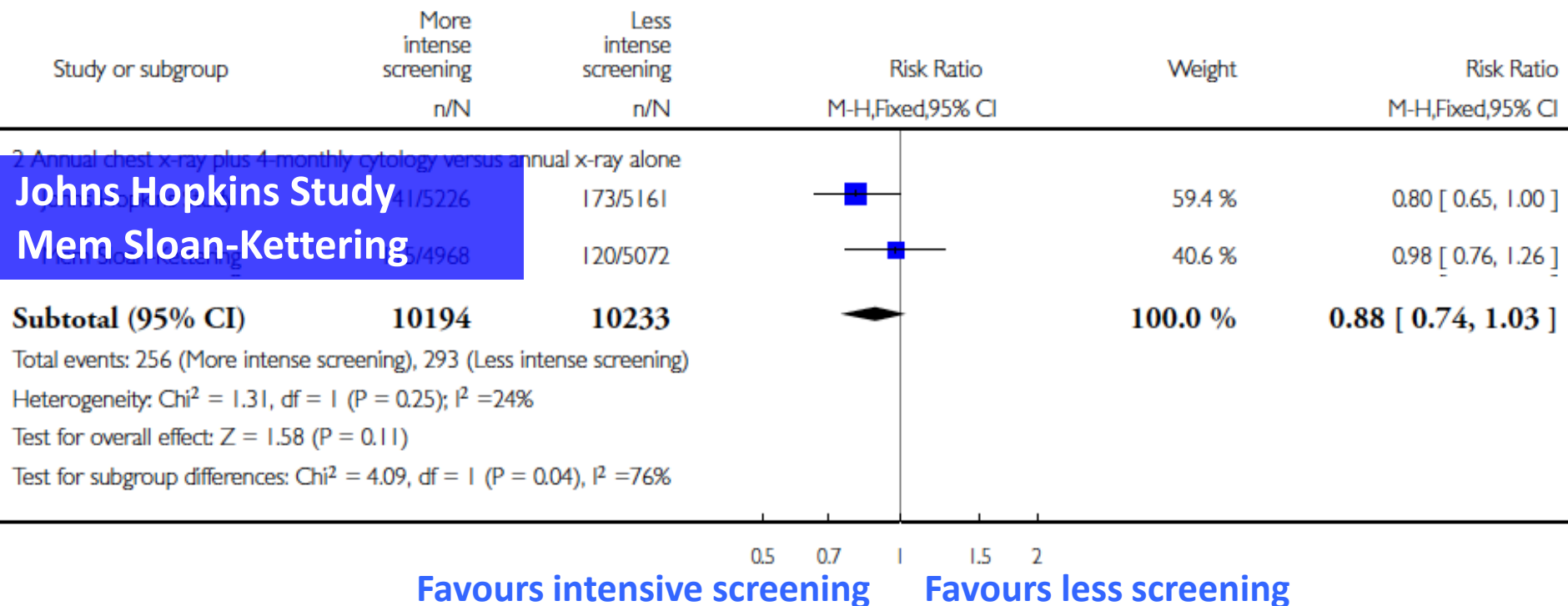
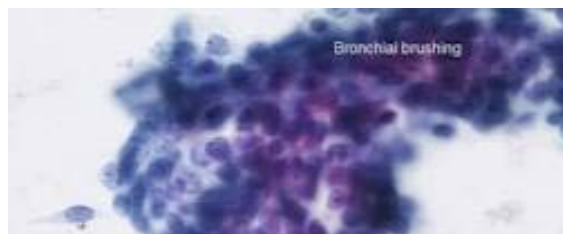
Lung cancer mortality

More frequent chest radiograph screening is not useful



# Annual CXR+4-monthly cytology vs. annual CXR alone

## Lung cancer mortality



# The NLST

## Annual LDCT VS. annual CXR

# The National Lung Screening Trial (NLST)

The primary endpoint : lung cancer mortality

August 2002 through April 2004

(Direct Pathway)

### High risk

- 55 - 74 years
- Smoking  $\geq$  30 pack-years
- Quit  $\leq$  15 years

Persons at Risk  
("Healthy"  
Smokers, Former  
Smokers)

Screening  
(Chest X-ray vs.  
Helical CT)

Early Lung  
Cancer  
Detection

Intermediate Outcomes  
• Surgery for cure  
• Decreased late  
stage disease

Health Outcomes  
• Lung Cancer Mortality  
• Overall Mortality  
• Quality of Life

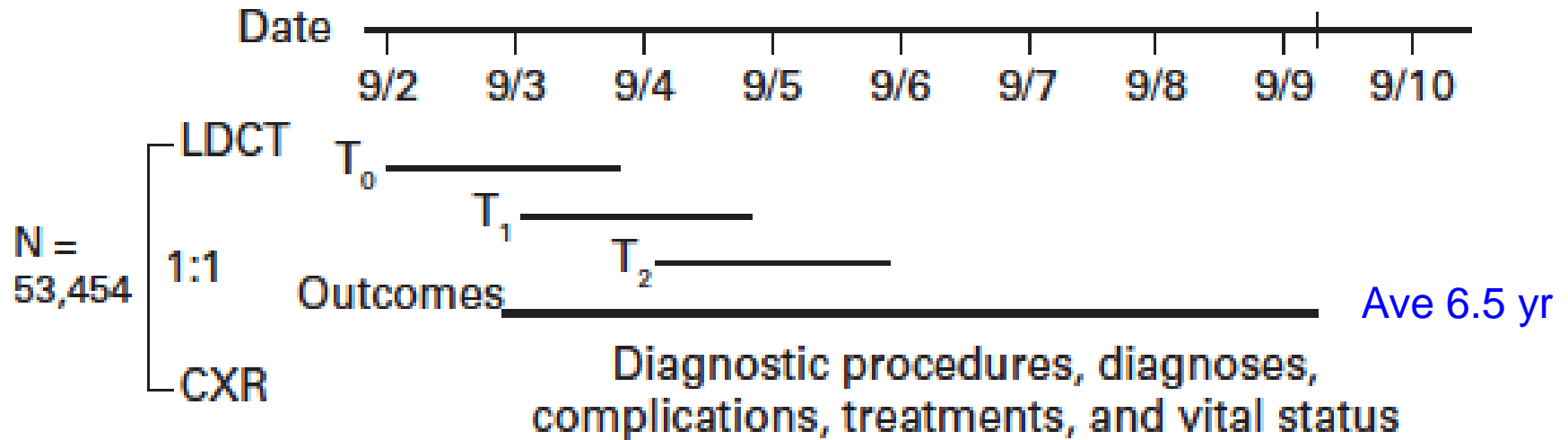


Process and outcomes in the NLST. (Adapted and reprinted, with permission, from reference 1).

# The NLST

## Annual LDCT VS. annual CXR

### The National Lung Screening Trial (NLST)



# The NLST

Positive

## LDCT

a nodule at least 4 mm in any diameter or other abnormalities suspicious for lung cancer

24.2% positive  
23.3% false positive

## Chest radiography

a nodule or mass of any size or other abnormalities suspicious for lung cancer

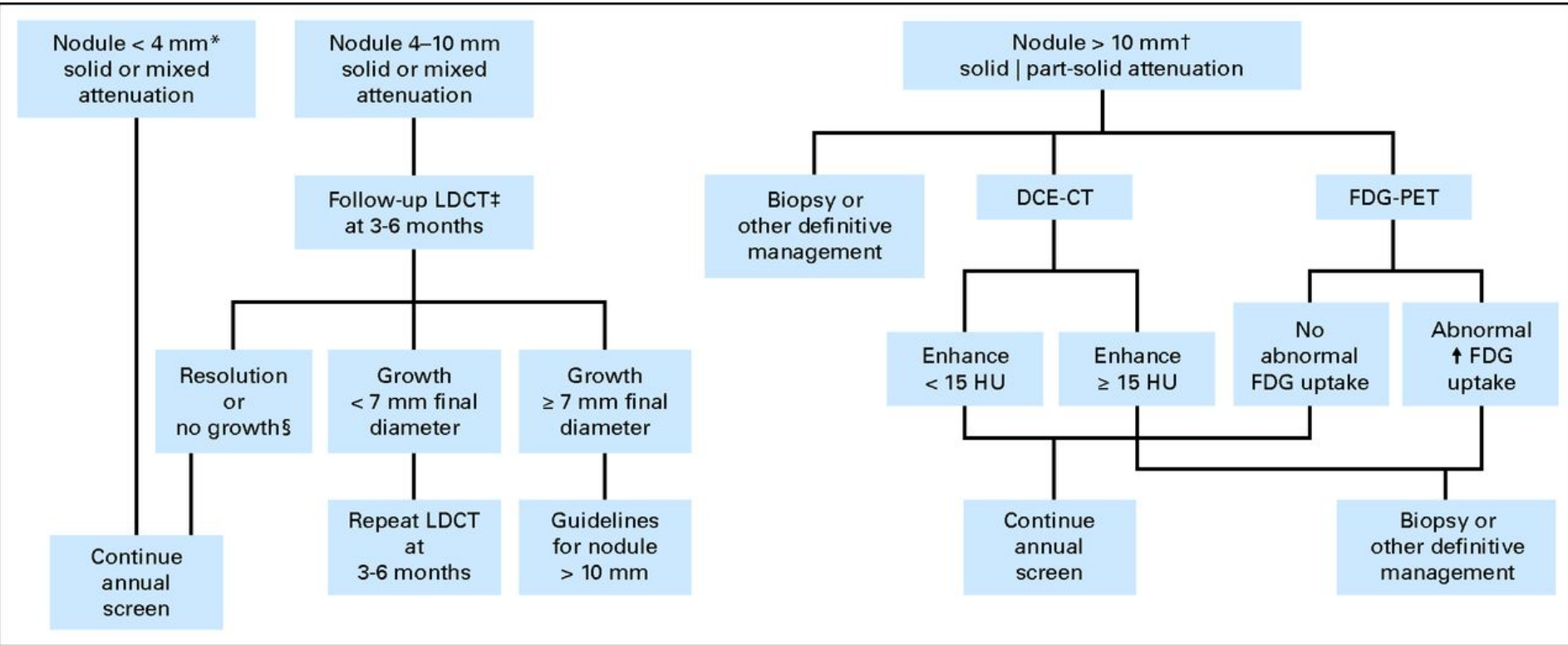
6.9% positive  
6.5% false positive

**Table 2. Results of Three Rounds of Screening.\***

Screening Round	Low-Dose CT				Chest Radiography			
	Total No. Screened	Positive Result	Clinically Significant Abnormality Not Suspicious for Lung Cancer no. (% of screened)	No or Minor Abnormality	Total No. Screened	Positive Result	Clinically Significant Abnormality Not Suspicious for Lung Cancer no. (% of screened)	No or Minor Abnormality
T0	26,309	7191 (27.3)	2695 (10.2)	16,423 (62.4)	26,035	2387 (9.2)	785 (3.0)	22,863 (87.8)
T1	24,715	6901 (27.9)	1519 (6.1)	16,295 (65.9)	24,089	1482 (6.2)	429 (1.8)	22,178 (92.1)
T2	24,102	4054 (16.8)	1408 (5.8)	18,640 (77.3)	23,346	1174 (5.0)	361 (1.5)	21,811 (93.4)

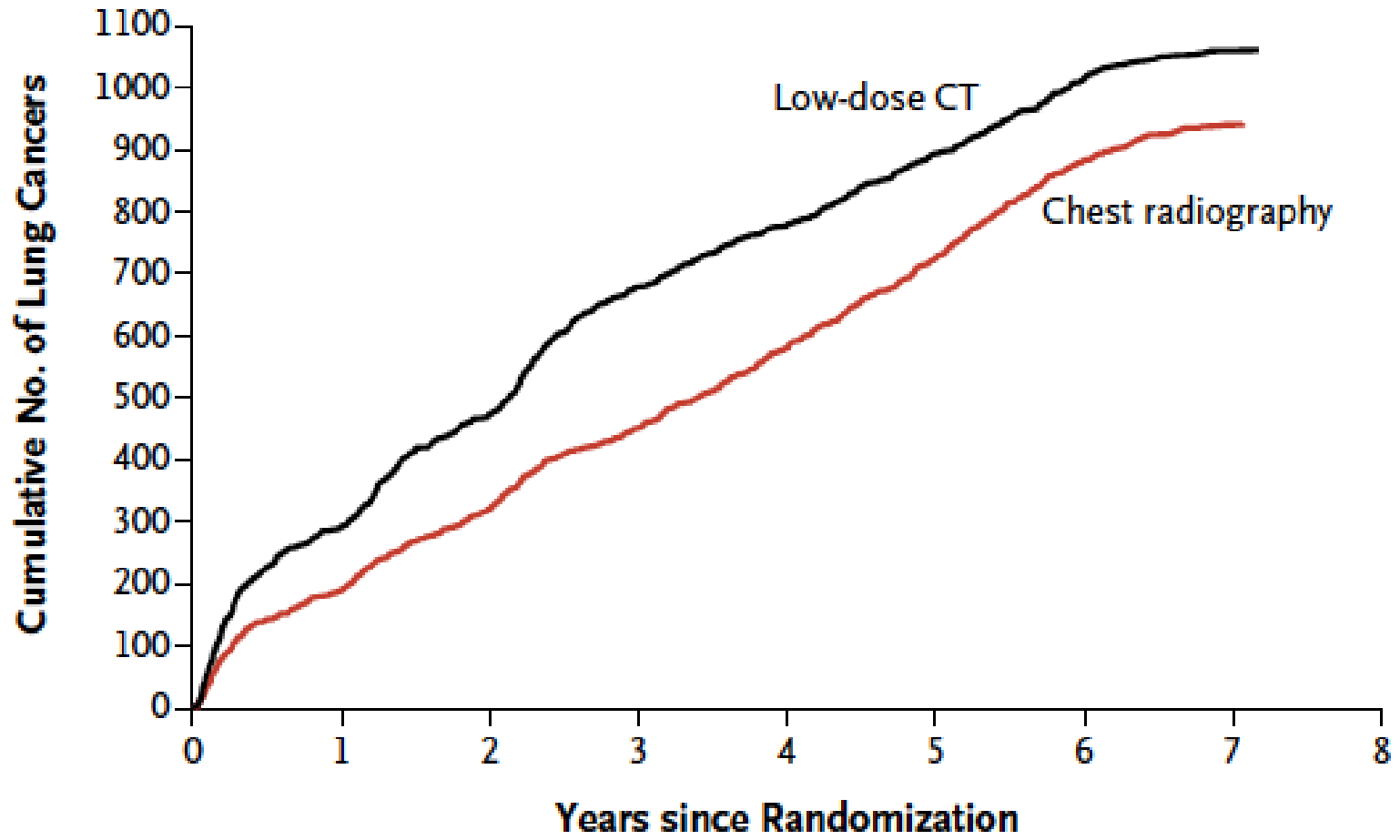


# The original guidelines for the management of CT-detected nodules in the NLST



Pure ground-glass nodules < 10 mm can be followed with LDCT at 6 to 12 months

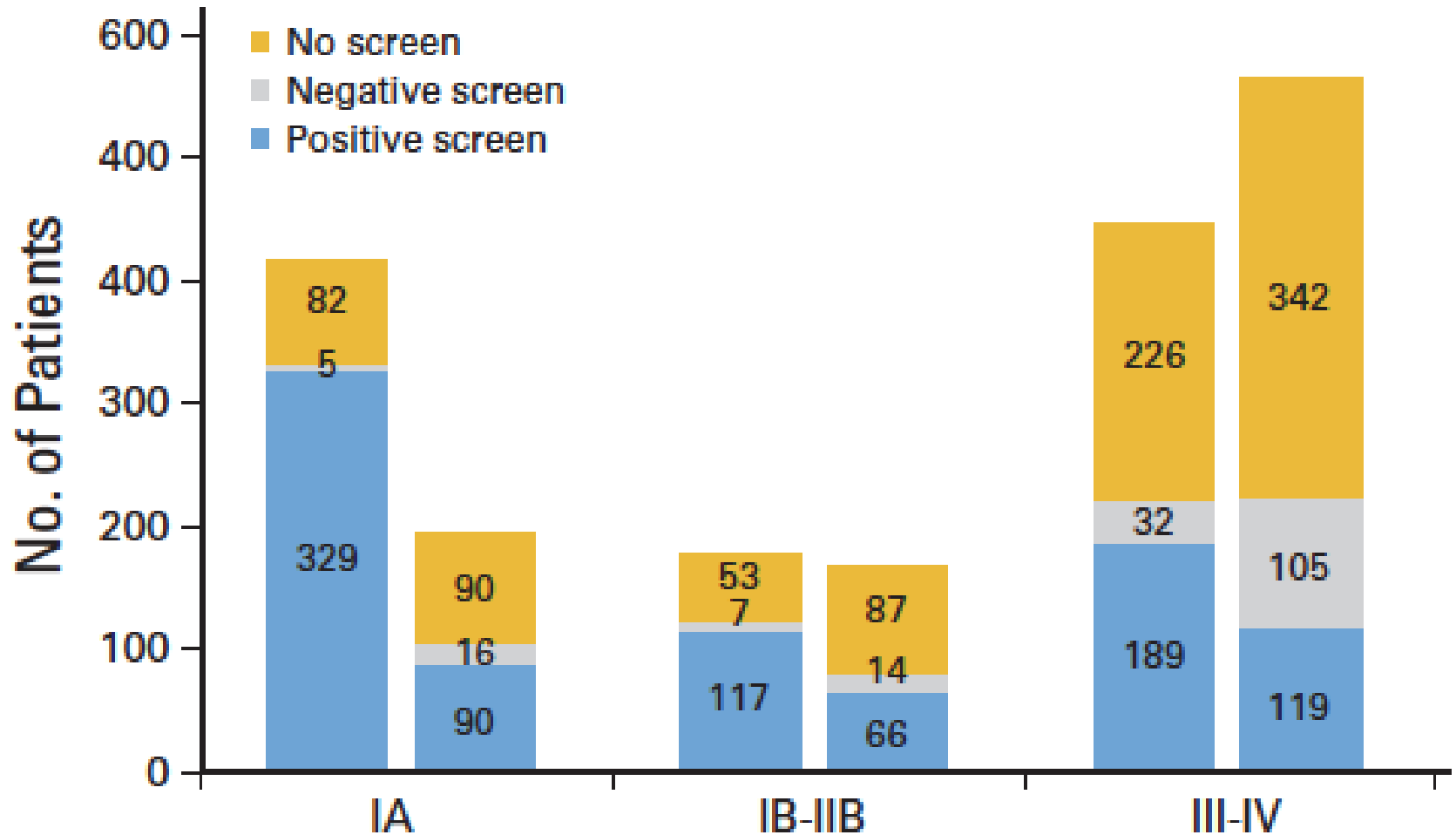
## Cumulative Numbers of Lung Cancers



# The NLST

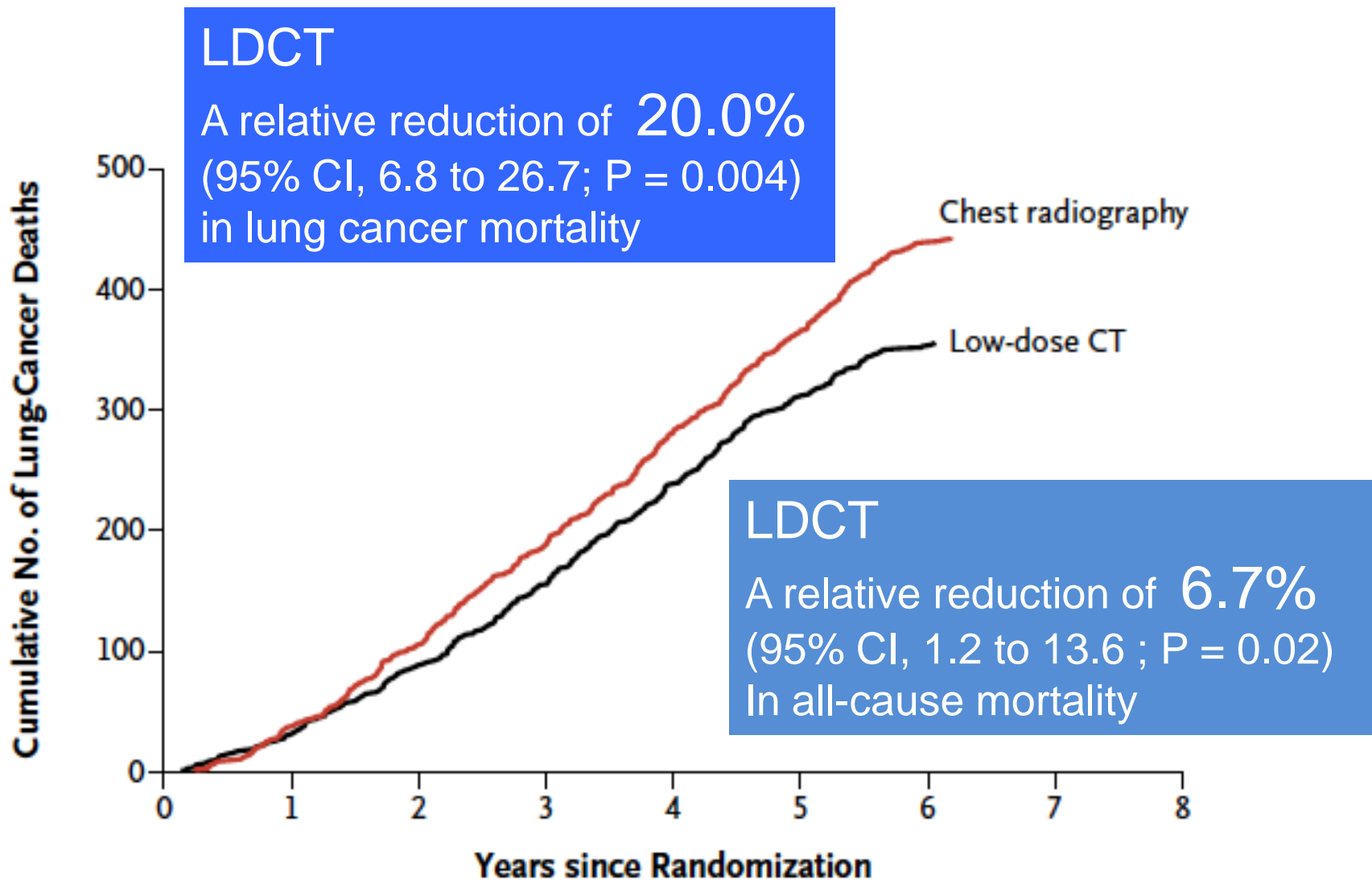
## Stage of lung cancers in the two screening arms

More stage IA, less III-IV in the LDCT arm



# The NLST

## Cumulative Numbers of Deaths from Lung Cancer



## Number needed to be screened

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### LDCT

for lung cancer

**302**

needed to be screened  
to save a life

N Engl J Med 2011;365:395-409.  
Aberle DR, J Clin Oncol 31:1002-1008.

### Mammography

for breast cancer

**465 - 601**

needed to be screened  
to save a life

Tabar L, J Med Screen 11:126-129, 2004  
Richardson A, J Med Screen 8:125-127, 2001

# The NLST

Complication	Lung Cancer Confirmed				
	Thoracotomy, Thoracoscopy, or Mediastinoscopy	Bron- choscopy	Needle Biopsy	No Invasive Procedure	Total
	<i>number (percent)</i>				
<b>Low-dose CT group</b>					
Positive screening results for which diagnostic information was complete	509 (100.0)	76 (100.0)	33 (100.0)	31 (100.0)	649 (100.0)
No complication	344 (67.6)	69 (90.8)	26 (78.8)	26 (83.9)	465 (71.6)
<b>At least one complication</b>	165 (32.4)	7 (9.2)	7 (21.2)	5 (16.1)	<b>184 (28.4)</b>
Most severe complication classified as major	71 (13.9)	2 (2.6)	0	2 (6.5)	75 (11.6)
Most severe complication classified as intermediate	81 (15.9)	5 (6.6)	7 (21.2)	2 (6.5)	95 (14.6)
Most severe complication classified as minor	13 (2.6)	0	0	1 (3.2)	14 (2.2)
Death within 60 days after most invasive diagnostic procedure†	5 (1.0)	4 (5.3)	1 (3.0)	0	10 (1.5)
<b>Radiography group</b>					
Positive screening results for which diagnostic information was complete	189 (100.0)	46 (100.0)	29 (100.0)	15 (100.0)	279 (100.0)
No complication	130 (68.8)	42 (91.3)	28 (96.6)	14 (93.3)	214 (76.7)
<b>At least one complication</b>	59 (31.2)	4 (8.7)	1 (3.4)	1 (6.7)	<b>65 (23.3)</b>
Most severe complication classified as major	22 (11.6)	1 (2.2)	0	1 (6.7)	24 (8.6)
Most severe complication classified as intermediate	32 (16.9)	2 (4.3)	1 (3.4)	0	35 (12.5)
Most severe complication classified as minor	5 (2.6)	1 (2.2)	0	0	6 (2.2)
Death within 60 days after most invasive diagnostic procedure†	4 (2.1)	5 (10.9)	1 (3.4)	1 (6.7)	11 (3.9)

# The NLST

## Lung Cancer Not Confirmed

Thoracotomy, Thoracoscopy, or Mediastinoscopy	Bronchoscopy	Needle Biopsy	No Invasive Procedure	Total
<i>number (percent)</i>				
164 (100.0)	227 (100.0)	66 (100.0)	16,596 (100.0)	17,053 (100.0)
138 (84.1)	216 (95.2)	59 (89.4)	16,579 (99.9)	16,992 (99.6)
26 (15.9)	11 (4.8)	7 (10.6)	17 (0.1)	61 (0.4)
9 (5.5)	2 (0.9)	0	1 (<0.1)	12 (0.1)
13 (7.9)	9 (4.0)	6 (9.1)	16 (0.1)	44 (0.3)
4 (2.4)	0	1 (1.5)	0	5 (<0.1)
2 (1.2)	4 (1.8)	0	5 (<0.1)	11 (0.1)
45 (100.0)	46 (100.0)	24 (100.0)	4,559 (100.0)	4,674 (100.0)
38 (84.4)	46 (100.0)	23 (95.8)	4,551 (99.8)	4,658 (99.7)
7 (15.6)	0	1 (4.2)	8 (0.2)	16 (0.3)
1 (2.2)	0	0	3 (0.1)	4 (0.1)
6 (13.3)	0	1 (4.2)	2 (<0.1)	9 (0.2)
0	0	0	3 (0.1)	3 (0.1)
0	0	0	3 (0.1)	3 (0.1)

# The NLST

## Results of Initial LDCT Screening for Lung Cancer

Sensitivity 93.8% (95% CI, 90.6 - 96.3) 73.5% (95% CI, 67.2 - 79.8)  
 Specificity 73.4% (95% CI, 72.8 - 73.9) 91.3% (95% CI, 91.0 - 91.6)

**Table 2. Frequency and Positive Predictive Value of Positive Screening Results, According to Study Group.\***

Finding at Initial Screening	Low-Dose CT				Positive predictive value		Chest Radiography					
	Confirmed Lung Cancer			Total	PPV	PPV Range	Confirmed Lung Cancer			Total	PPV	PPV Range
	yes	no	unknown				yes	no	unknown			
				percent						percent		
<b>Patients</b>												
Positive screening	270	6911	10	7191	3.8	3.3-4.2	136	2243	8	2387	5.7	4.8-6.6
With subsequent biopsy	265 (98.1)	236 (3.4)	0	501 (7.0)	52.9	48.4-57.4	132 (97.1)	56 (2.5)	0	188 (7.9)	70.2	64.0-76.8
With noncalcified nodule or mass	267 (98.9)	6765 (97.9)	9 (90.0)	7041 (97.9)	3.8	3.3-4.2	123 (90.4)	1982 (88.4)	7 (87.5)	2112 (88.5)	5.8	4.9-6.9
<b>Size of nodule or mass†</b>												
<4 mm	0	1 (<1)	0	1 (<1)	0.0	0.0-0.0	1 (0.7)	40 (1.8)	1 (12.5)	42 (1.8)	2.4	0.0-7.9
≥4 mm	267 (98.9)	6743 (97.6)	9 (90.0)	7019 (97.6)	3.8	3.4-4.3	115 (84.6)	1807 (80.6)	6 (75.0)	1928 (80.8)	6.0	4.9-7.1
4-6 mm	18 (6.7)	3642 (52.7)	8 (80.0)	3668 (51.0)	0.5	0.3-0.7	5 (3.7)	491 (21.9)	2 (25.0)	498 (20.9)	1.0	0.2-2.0
7-10 mm	35 (13.0)	2079 (30.1)	1 (10.0)	2115 (29.4)	1.7	1.1-2.2	12 (8.8)	692 (30.9)	2 (25.0)	706 (29.6)	1.7	0.8-2.9
11-20 mm	111 (41.1)	821 (11.9)	0	932 (13.0)	11.9	9.8-13.9	38 (27.9)	481 (21.4)	2 (25.0)	521 (21.8)	7.3	5.1-9.7
21-30 mm	58 (21.5)	137 (2.0)	0	195 (2.7)	29.7	23.7-36.4	27 (19.9)	92 (4.1)	0	119 (5.0)	22.7	15.2-30.4
>30 mm	45 (16.7)	64 (0.9)	0	109 (1.5)	41.3	32.1-51.0	33 (24.3)	51 (2.3)	0	84 (3.5)	39.3	28.6-50.6
Unknown	0	21 (0.3)	0	21 (0.3)	0.0	0.0-0.0	7 (5.1)	135 (6.0)	0	142 (5.9)	4.9	1.8-8.7
<b>Other findings</b>												
Atelectasis, segmental or more extensive‡	3 (1.1)	69 (1.0)	0	72 (1.0)	4.2	0.0-9.0	4 (2.9)	24 (1.1)	0	28 (1.2)	14.3	3.4-29.5
Noncalcified hilar or mediastinal adenopathy or mass	51 (18.9)	225 (3.3)	1 (10.0)	277 (3.9)	18.5	14.1-23.4	8 (5.9)	78 (3.5)	0	86 (3.6)	9.3	3.8-15.8
Consolidation‡	7 (2.6)	80 (1.2)	0	87 (1.2)	8.0	2.6-14.4	3 (2.2)	41 (1.8)	1 (12.5)	45 (1.9)	6.8	0.0-14.4
Pleural thickening or effusion	16 (5.9)	439 (6.4)	1 (10.0)	456 (6.3)	3.5	1.9-5.3	10 (7.4)	161 (7.2)	1 (12.5)	172 (7.2)	5.8	2.5-9.4



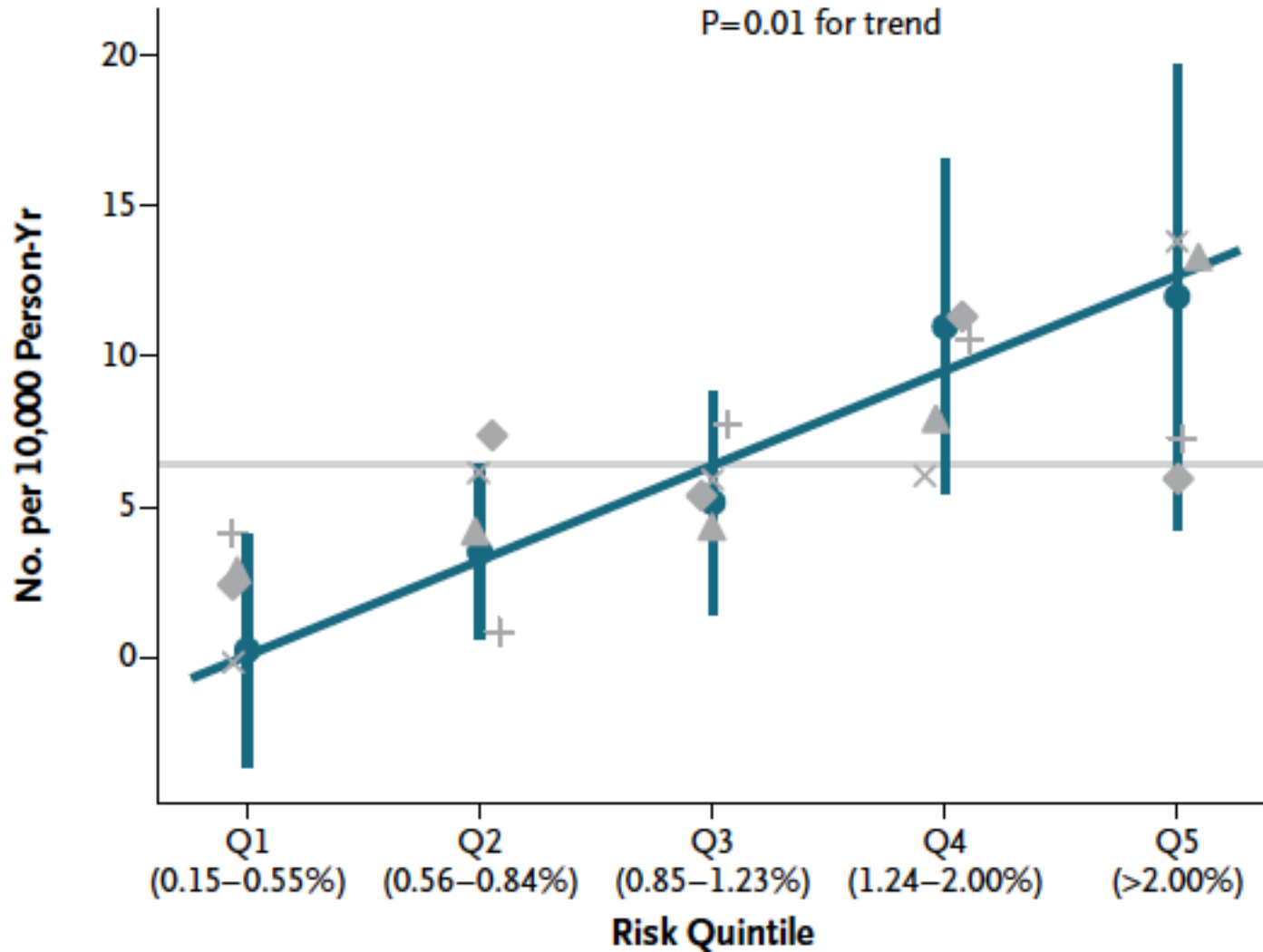
# Targeting of LDCT Screening According to the Risk of Lung-Cancer Death

**Table 2. Cause-Specific Hazard Models Used in the Risk-Prediction Model for Lung-Cancer Death in the Radiography Group of the NLST.\***

Factor	Coding	Death from Lung Cancer	Death from Another Cause
		<i>hazard ratio (95% CI)</i>	
Age	Continuous	1.08 (1.06–1.10)	1.09 (1.08–1.10)
Female sex	Binary	NA†	0.50 (0.44–0.58)
Race	Categorical	NA†	
Non-Hispanic white			1.00 (reference)
Non-Hispanic black			2.22 (1.78–2.76)
Hispanic			1.34 (0.89–2.03)
Other			1.21 (0.91–1.60)
Body-mass index‡			
Linear term	Continuous	0.75 (0.66–0.86)	0.89 (0.82–0.97)
Quadratic term	Continuous	1.05 (0.99–1.11)	1.06 (1.04–1.09)
Pack-years of smoking	Continuous	1.02 (1.01–1.02)	1.01 (1.01–1.01)
Years since smoking cessation	Trend§	0.62 (0.55–0.70)	0.76 (0.70–0.81)
Presence of emphysema	Binary	1.56 (1.20–2.04)	1.52 (1.28–1.80)
First-degree relative with lung cancer	Trend¶	1.27 (1.00–1.62)	NA

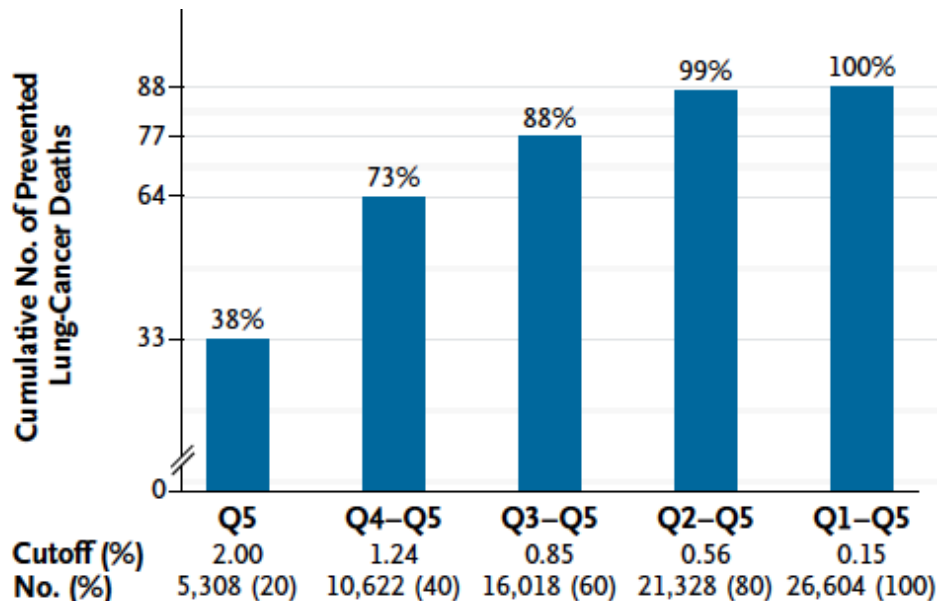
# Targeting of LDCT Screening According to the Risk of Lung-Cancer Death

## Lung-Cancer Deaths Prevented by LDCT

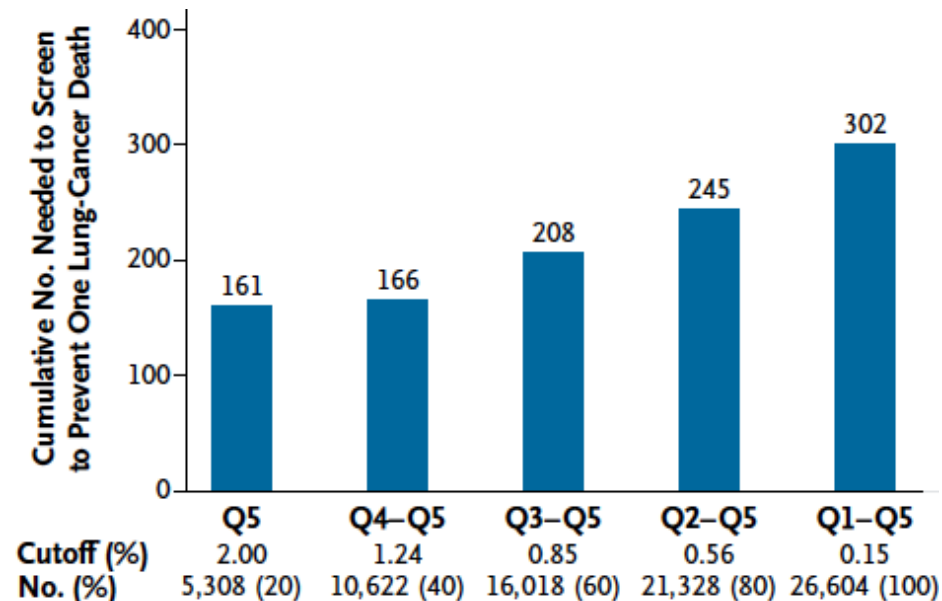


# Targeting of LDCT Screening According to the Risk of Lung-Cancer Death

## Prevented Lung-Cancer Deaths

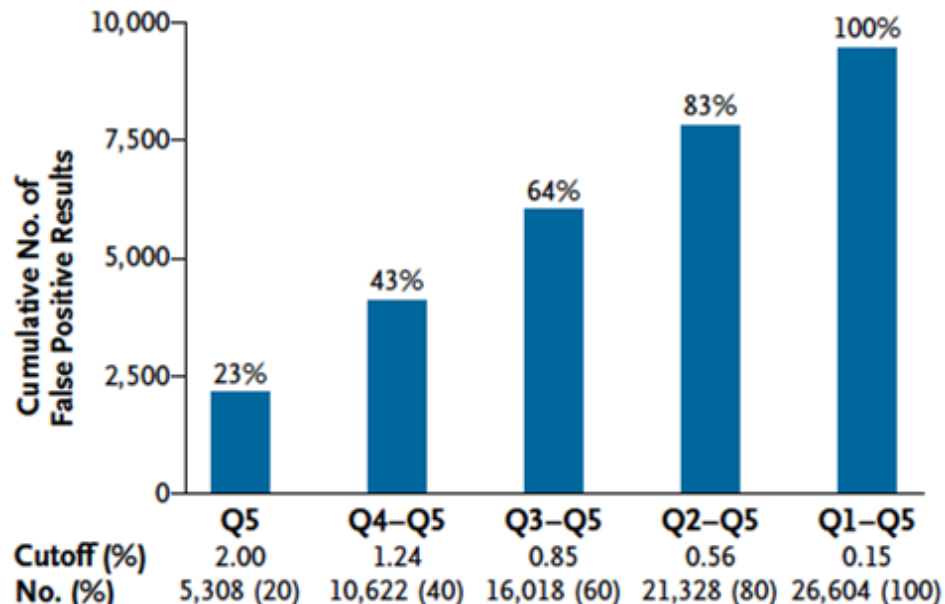


## Number Needed to Screen

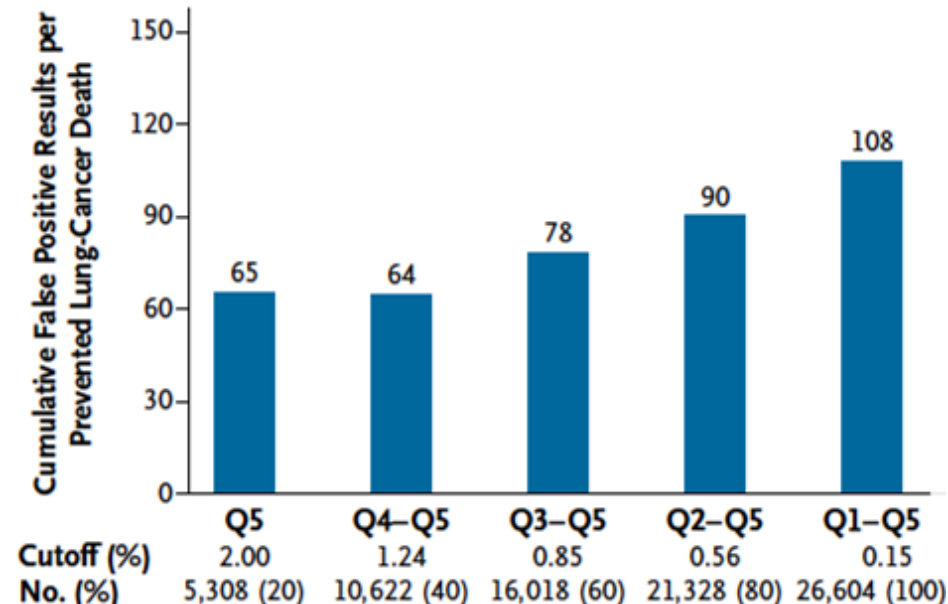


# Targeting of LDCT Screening According to the Risk of Lung-Cancer Death

## False Positive Results



## False Positive Results per Prevented Lung-Cancer Death



# Guidelines for lung cancer screening

Organizations	Primary Population for Screening		Other Populations for Screening	
	Recommendations	AHA Level of Evidence <sup>a</sup>	Recommendations	AHA Level of Evidence <sup>a</sup>
American Association for Thoracic Surgery (AATS)	Aged 55-79 y ≥30 Pack-years of smoking	B	Aged ≥50 y ≥20 Pack-years of smoking Additional risk factor(s) <sup>b</sup> or Lung cancer survivor ≥5 y	B  C
American College of Chest Physicians (ACCP) and American Society of Clinical Oncology (ASCO)	Aged 55-74 y ≥30 Pack-years of smoking Former smokers must have quit within past 15 y	B <sup>c</sup>	NR	NA
American Cancer Society	Aged 55-74 y ≥30 Pack-years of smoking Former smokers must have quit within past 15 y	B	NR	NA
National Comprehensive Cancer Network (NCCN)	Aged 55-74 y ≥30 Pack-years Former smokers must have quit within past 15 y	B	Aged ≥50 y ≥20 Pack-years of smoking Additional risk factor(s) <sup>d</sup>	B

## Randomized Controlled Trials of CT Screening for Lung Cancer

	Screening Arm (n)	Screening Rounds (n)	Length of Screening Interval (yr)	Age Range (yr)	M / F (%:%)	Smoking Pack-Years	Years Since Quitting Smoking	Mortality Reduction, %
NLST	26,722	3	1	55-74	59.0:41.0	≥30	<15	20
NELSON	7,915	4	1, 2, 2.5	50-75	83.5:16.5	>15	≤10	NR
DLST	2,052	5	1	50-70	54.6:45.4	≥20	<10	NSD
ITALUN G	1,613	4	1	55-69	64.2:35.8	≥20	<10	NR
DANTE	1,276	4	1	60-74	100.0:0.0	≥20	<10	NSD
MILD	1,190	10	1	49-	68.4:31.6	≥20	<10	NR
	1,186	5	2		68.5:31.5			
LUSI	2,029	4	1	50-69	64.8:35.2	Heavy	NR	NR

CT vs. no screen, except NLST

# The NELSON trial

(Nederlands Leuvens Longkanker Screenings Onderzoek)

Table 1 NELSON classification of the different non-calcified nodules according to size at baseline screening

NODCAT baseline	Definition
I	Benign nodule (fat/benign calcifications) or other benign characteristics
II	Any nodule, smaller than NODCAT III and no characteristics of NODCAT I
III	Solid: $50\text{--}500\text{ mm}^3$ Solid, pleural based: $5\text{--}10\text{ mm } d_{\min}$ Partial solid, non-solid component: $\geq 8\text{ mm } d_{\text{mean}}$ Partial solid, solid component: $50\text{--}500\text{ mm}^3$ Non-solid: $\geq 8\text{ mm } d_{\text{mean}}$
IV	Solid: $>500\text{ mm}^3$ Solid, pleural based: $>10\text{ mm } d_{\min}$ Partial solid, solid component: $>500\text{ mm}^3$

→ Year 4

→ Year 3

→ Repeat scan  
3-4 months later

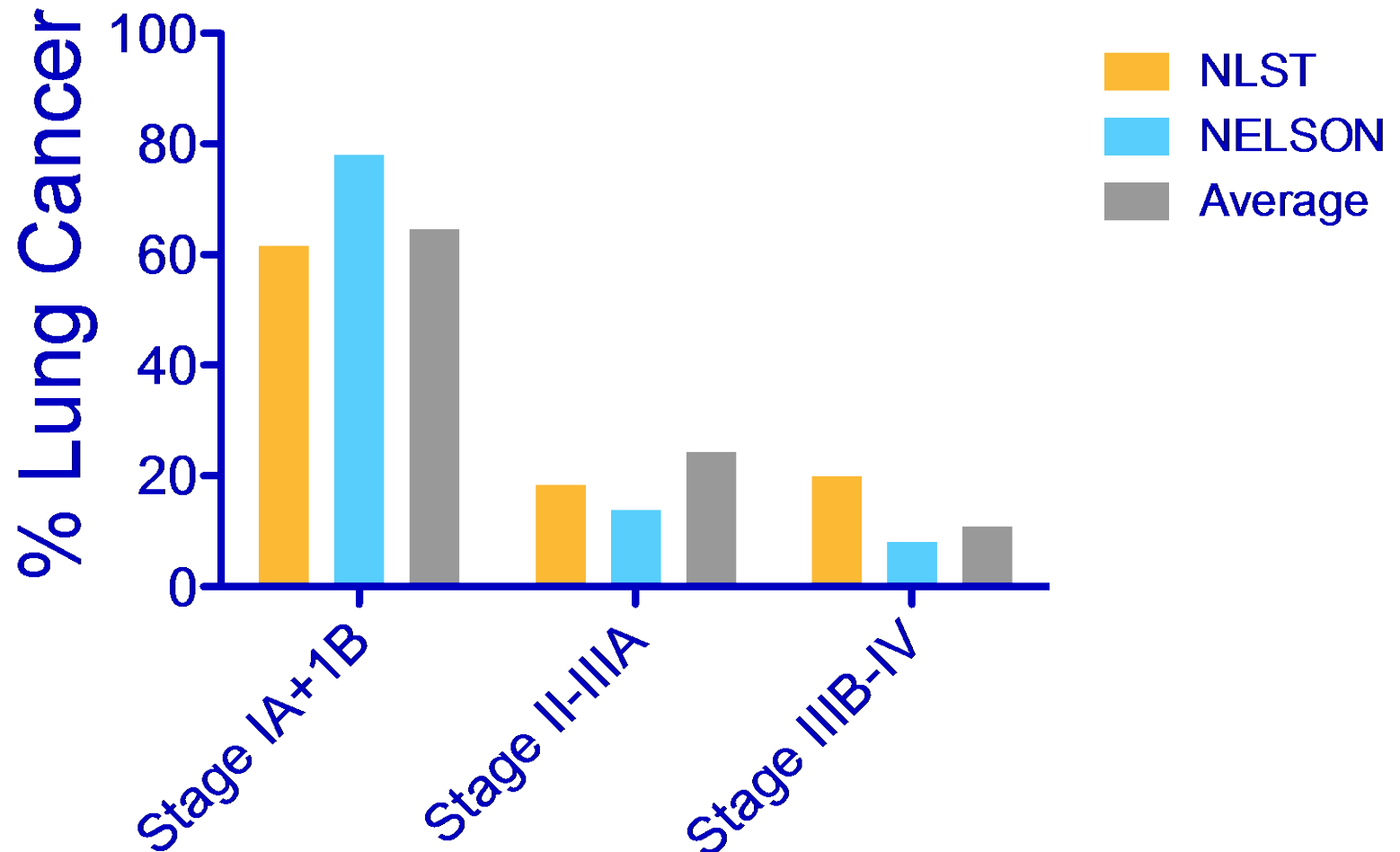
volume-doubling time  
(VDT) < 400 days

Positive

noncalcified nodules, a volume  $>500\text{ mm}^3$   
(about 9.8 mm in diameter)

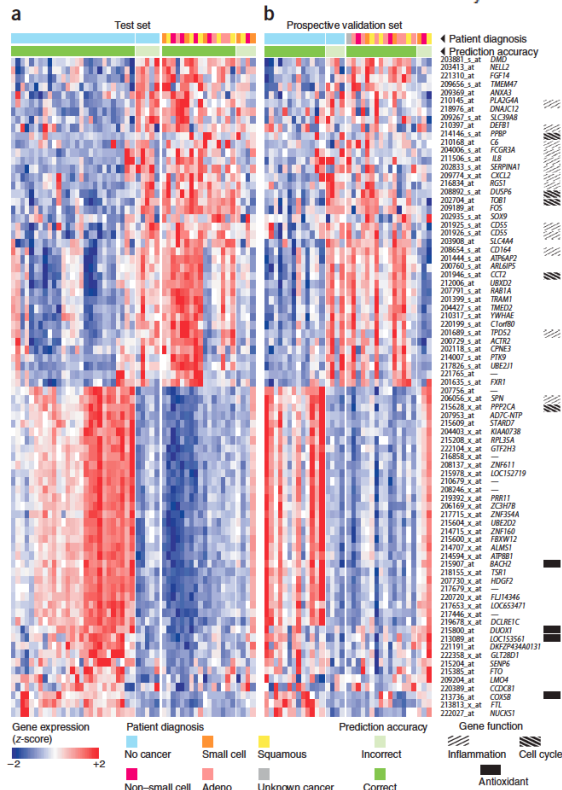
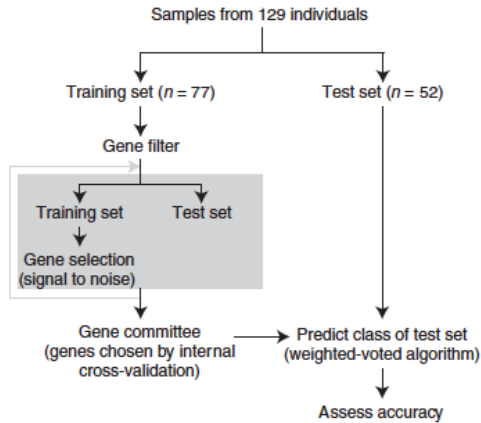
## The NELSON trial

the cancer stage was significantly lower ( $P < 0.001$ )  
vs. NLST





# Airway epithelial gene expression in the diagnostic evaluation of smokers with suspect lung cancer



Study samples (n = 129)

Cancer	Non
60	69

**a**

	Actual Cancer	Actual Non	
Bronch <sup>+</sup>	32	0	100% PPV
Bronch <sup>-</sup>	28	64*	71% NPV
	53% Sens	100% Spec	

**b**

	Actual Cancer	Actual Non	
Bronch <sup>+</sup> or GE <sup>+</sup>	57	11	84% PPV
Bronch <sup>-</sup> or GE <sup>-</sup>	3	53*	95% NPV
	95% Sens	83% Spec	

Prospective samples (n = 35)

Cancer	Non
18	17

**c**

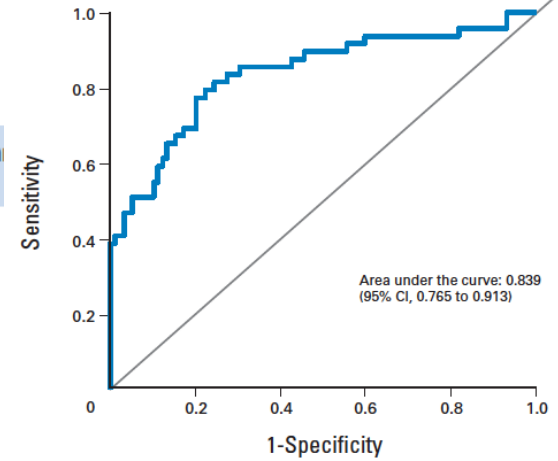
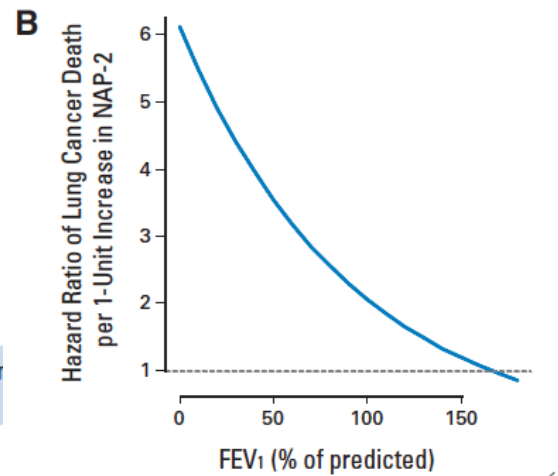
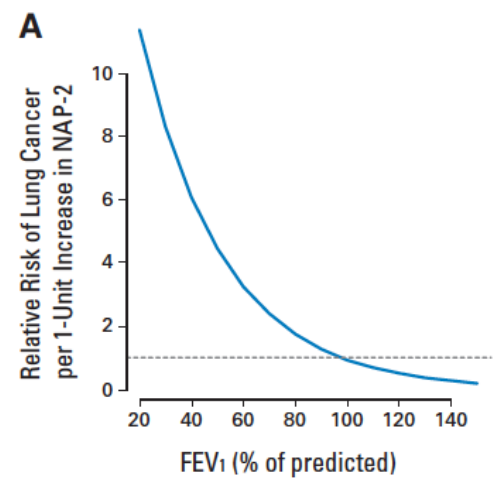
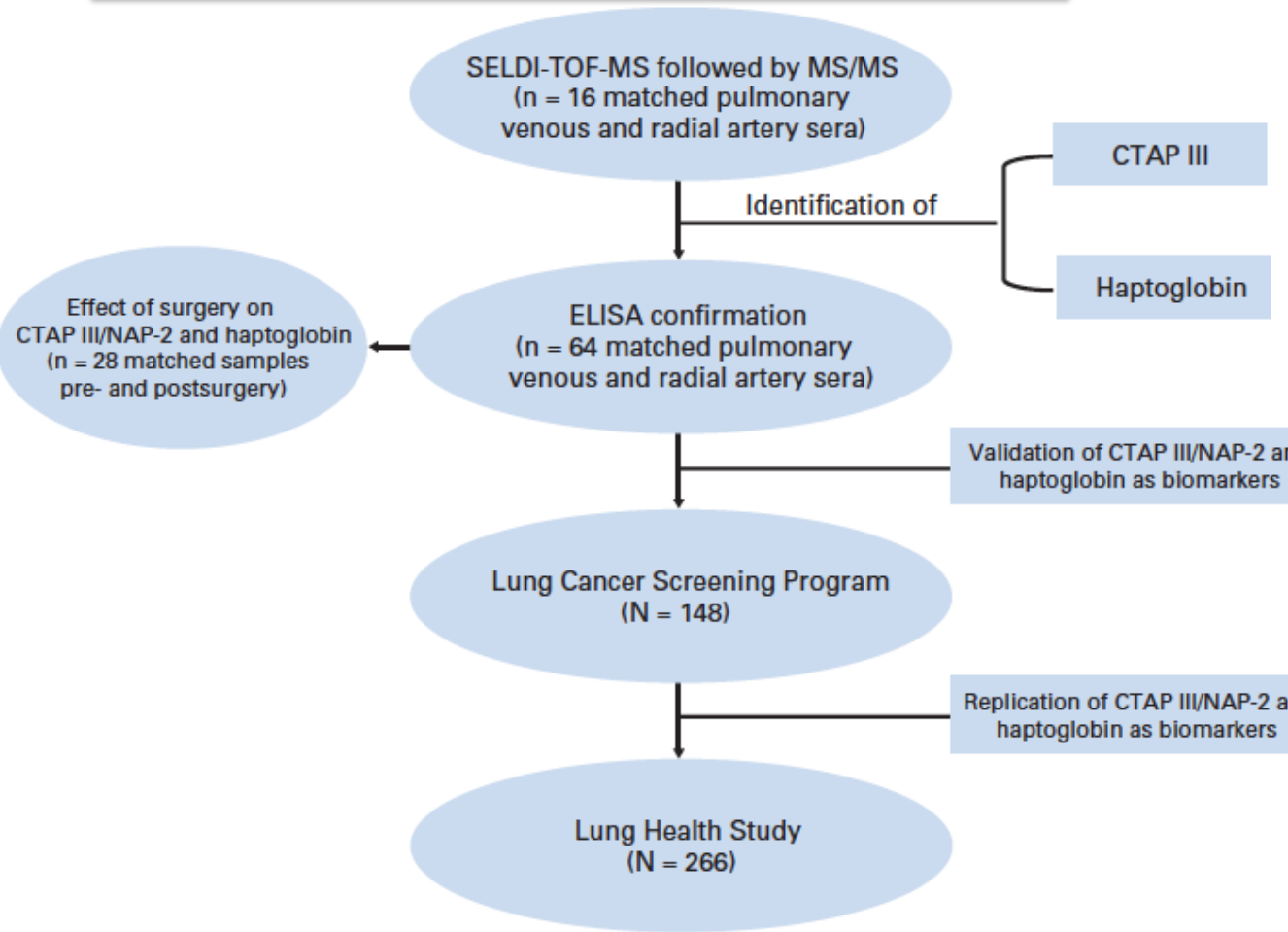
	Actual Cancer	Actual Non	
Bronch <sup>+</sup>	8	0	100% PPV
Bronch <sup>-</sup>	10	17	63% NPV
	44% Sens	100% Spec	

**d**

	Actual Cancer	Actual Non	
Bronch <sup>+</sup> or GE <sup>+</sup>	17	4	81% PPV
Bronch <sup>-</sup> or GE <sup>-</sup>	1	13	93% NPV
	94% Sens	76% Spec	

# A Novel Blood Biomarker for Early Lung Cancer Detection- Connective Tissue-Activating Peptide III

Improving the accuracy of  
a lung cancer risk prediction model



# Take home message

- Screening with CXR +/- sputum cytology
  - no reduction in lung-cancer mortality
- Annual LDCT for 3 years (the NLST)
  - aged 55-74, smoking  $\geq 30$  pack-yrs, quit  $\leq 15$  yrs
  - 20% reduction in lung cancer mortality
  - screening 302, saving a life
  - People with higher risk?
  - More stringent criteria?
  - Longer screening interval?



雙  
和  
醫

Thank You for Your Attention



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Taipei Medical University - Shuang Ho Hospital

